

# 20SK – Signals and Codes

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## Lecture 12 – Binary cyclic codes (2018/12/17)

Topics discussed:

- Relation between vectors and coefficients of polynomials
- Binary arithmetic modulo  $N$
- Binary cyclic codes: properties, generator and parity-check polynomial, construction of generator polynomial, parity-check polynomial.
- Linear codes as binary cyclic codes.
- Relation between cyclic and linear codes.
- Encoding a cyclic code using a shift register.

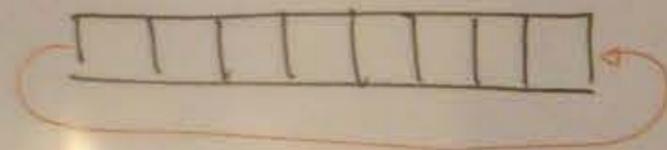
The relevant literature is [1, chapter 3], [2, chapters 10 and 12] and [3, chapter 4].

### Resources

- [1] Morelos-Zaragoza, R. H.: The Art of Error-Correcting Coding. 2nd edition, John Wiley & Sons, 2006, 263pp.
- [2] Adámek, J: Foundations of Coding: Theory and Applications of Error-Correcting Codes with an Introduction to Cryptography and Information Theory. Wiley Interscience, 1991, 352 pp.
- [3] Moon, T. K.: Error Correction Coding – Mathematical Methods and Algorithms. Wiley Interscience, 2005, 756 pp.

## Binary cyclic codes

- a bit-rotated code-word is again a code-word



- bit vectors represented as polynomials

- all mathematical operations are performed as congruences  $\text{mod } x^n \oplus 1$  where  $n$  is the length of the code-word

Ex: If  $a = (0110)$  then also  $(1100)$ ,  $(0011)$  and  $(1001)$  are code-words.

$$\vec{a} \sim a(x) = x^2 \oplus x$$

$$\vec{a}^{(1)} \sim xa(x) = x^3 \oplus x^2$$

$$\vec{a}^{(2)} \sim x^2a(x) = x \oplus 1$$

but:

$$\begin{aligned} x^2 \cdot a(x) &= x^4 \oplus x^3 \text{ mod } x^4 \oplus 1 \\ &= x^3 \oplus 1 \rightarrow (1001) \end{aligned}$$

why?

$$\begin{array}{r} x^4 \oplus x^3 : x^4 \oplus 1 = 1 \\ \underline{x^4 \oplus 1} \\ x^3 \oplus 1 \dots \text{remainder} \end{array}$$

## Construction

$n$  ... length of the code  $\Rightarrow x^n \oplus 1$

$k$  ... number of information bits

$$u(x) \cdot g(x) \rightarrow v(x)$$

$\hookrightarrow$  generator polynomial

a) degree  $n-k$

b) irreducible

c) divides  $x^n \oplus 1$

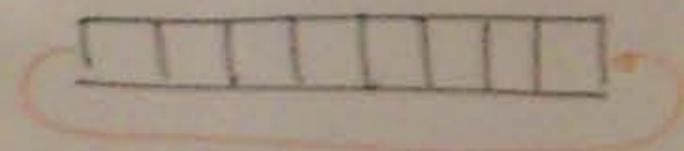
$$v(x) \cdot h(x) = 0$$

$\hookrightarrow$  parity check polynomial

$$h(x) = \frac{x^n \oplus 1}{g(x)}$$

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but:

$$x^2 \cdot a(x) = x^4 \oplus x^3 \text{ mod } x^4 \oplus 1$$

$$= x^3 \oplus 1 \rightarrow (1001)$$

$$\frac{x^4 \oplus x^3 : x^4 \oplus 1 = 1}{x^4 \oplus 1}$$

$$\frac{\quad}{x^3 \oplus 1} \text{ remainder}$$

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$$v(x) \cdot h(x) = 0$$

$\hookrightarrow$  parity check polynomial

$$h(x) = \frac{x^n \oplus 1}{g(x)}$$

Ex:  $n=7$   
 $g(x) = x^3 \oplus x \oplus 1$

$\deg(g(x)) = 3 \rightarrow k=4$

$h(x) = x^7 \oplus 1 : x^3 \oplus x \oplus 1 = x^4 \oplus x^2 \oplus x \oplus 1$

$$\begin{array}{r} x^7 \oplus x^5 \oplus x^4 \\ \hline \end{array}$$

$$\begin{array}{r} x^5 \oplus x^4 \oplus 1 \\ \hline \end{array}$$

$$\begin{array}{r} x^5 \oplus x^3 \oplus x^2 \\ \hline \end{array}$$

$$\begin{array}{r} x^4 \oplus x^3 \oplus x^2 \oplus 1 \\ \hline \end{array}$$

$$\begin{array}{r} x^4 \oplus x^2 \oplus x \\ \hline \end{array}$$

$$\begin{array}{r} x^2 \oplus x \oplus 1 \\ \hline \end{array}$$

a) encoding  $\vec{u} = (0101)$

$u(x) = x^2 \oplus 1$

$v(x) = (x^2 \oplus 1)(x^3 \oplus x \oplus 1) =$   
 $= x^5 \oplus x^3 \oplus x^2 \oplus x^3 \oplus x \oplus 1 =$   
 $\rightarrow x^5 \oplus x^2 \oplus x \oplus 1$

$\vec{v} = (0100111)$

b) decoding  $v(x)$ :

$(x^5 \oplus x^2 \oplus x \oplus 1)(x^4 \oplus x^2 \oplus x \oplus 1) =$   
 $= x^9 \oplus x^7 \oplus x^6 \oplus x^5 \oplus x^6 \oplus x^4 \oplus x^3 \oplus x^2 \oplus$   
 $\oplus x^5 \oplus x^3 \oplus x^2 \oplus x \oplus x^4 \oplus x^2 \oplus x \oplus 1 =$   
 $= x^9 \oplus x^7 \oplus x^2 \oplus 1 \pmod{x^3 \oplus 1}$   
 $= x^2 \oplus x^2 = 0$

$v(x)$  is a code word!

Generator polynomial  $g(x) \rightarrow G$

For our  $(7,4)$  code:

$g(x) \sim (0001011)$   
 $xg(x) \sim (0010110)$   
 $x^2g(x) \sim (0101100)$   
 $x^3g(x) \sim (1011000)$

$\rightarrow G = \begin{pmatrix} 0001011 \\ 0010110 \\ 0101100 \\ 1011000 \end{pmatrix}$

Def:  $(n,k)$  bin. cyclic code with gen. poly.  $g(x)$   
 can be transformed into general bin. lin. code as

$G = \begin{pmatrix} g(x) \\ xg(x) \\ \vdots \\ x^{n-k}g(x) \end{pmatrix}$

## Construction:

$$w(x) = u(x) \cdot g(x) \pmod{x^n \oplus 1}$$

$n$  ... code length,  $k$  ... number of data bits

$m$  ... number of parity bits

$g(x)$ : generator polynomial

$$\deg(g(x)) = m$$

$$g(x) \mid x^n \oplus 1 \quad (\text{it divides } x^n \oplus 1)$$

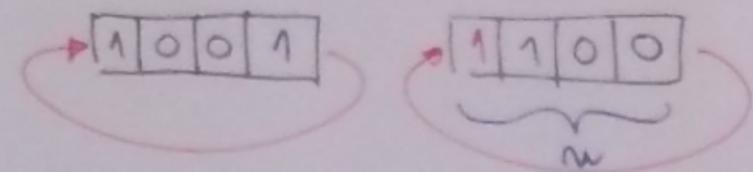
$$\Rightarrow g(x) \cdot h(x) = x^n \oplus 1$$

$h(x)$ : parity check polynomial

$$w(x) \cdot h(x) = 0$$

## BINARY CYCLIC CODES

- a bit rotation of a code word yields again a code word



Can be represented as polynomials of degree  $n-1$

$\Rightarrow$  all operations performed in  $\pmod{x^n \oplus 1}$

$$\vec{w} = (1001) \Rightarrow w(x) = 1 \oplus x^3$$

$$\vec{w}' = (0110) \Rightarrow w'(x) = x \oplus x^2$$

$$\text{Ex: } w = (1001) \Rightarrow w(x) = 1 \oplus x^3$$

rotate one bit to the right:

$$w'(x) = x \cdot w(x) = x \oplus x^4 \pmod{x^4 \oplus 1}$$

$$= 1 \oplus x$$

$$\begin{array}{r} x^4 \oplus x : x^4 \oplus 1 = 1 \\ \hline x \oplus 1 \end{array} \leftarrow \text{not interesting for us}$$

but this is

rotate 2 bits:

$$w''(x) = x^2 \cdot w(x) = x^2 \oplus x^5 \pmod{x^4 \oplus 1}$$

$$= x \oplus x^2$$

$$\begin{array}{r} x^5 \oplus x^2 : x^4 \oplus 1 = x \\ x^5 \oplus x \\ \hline x^2 \oplus x \end{array}$$

Ex:  $w = (1001)$  is a cyclic code

other code words are for sure: (0011)

(0110)

(1100)

$$\begin{array}{r} 001001 \\ \oplus 010001 \\ \hline 011000 \end{array}$$

# CYCLIC CODES

parity check polynomial:

$$h(x) = \frac{x^m \oplus 1}{g(x)}$$

$$h(x) \cdot g(x) \equiv x^m \oplus 1 \equiv 0$$

Division with remainder:  $a(x) = g(x) \cdot (x^m \oplus 1) + r(x)$

How to construct  $g(x)$ ?

$$x^m \oplus 1 = \prod_{i=1}^m \varphi_i(x) \dots \text{irreducible polynomials}$$

$$x^m \oplus 1 = \varphi_1(x) \cdot \varphi_2(x) \cdot \varphi_3(x)$$

or

$$g(x) = \varphi_1(x) \quad h(x) = \varphi_2(x) \cdot \varphi_3(x)$$
$$g(x) = \varphi_1(x) \cdot \varphi_3(x) \quad h(x) = \varphi_2(x)$$

$$g(x) = \varphi_2(x)$$

$$h(x) = \varphi_1(x) \cdot \varphi_3(x) \quad \text{dual code}$$

Ex:  $m=7$   $x^7 \oplus 1 = (x \oplus 1)(x^3 \oplus x + 1)(x^3 \oplus x^2 \oplus 1)$

$\varphi_1(x) \quad \varphi_2(x) \quad \varphi_3(x)$

$$g(x) = x^3 \oplus x \oplus 1 \quad \text{Hamming!}$$

$$h(x) = \varphi_1(x) \cdot \varphi_3(x) = x^4 \oplus x^3 \oplus x \oplus x^3 \oplus x^2 \oplus 1$$

$$h(x) = x^4 \oplus x^2 \oplus x \oplus 1$$

$$g(x) = \varphi_2(x) \cdot \varphi_3(x) \quad \text{Parity!}$$

$$h(x) = x \oplus 1$$

# RELATION TO LINEAR CODES

$$g(x) = g_{m-k} \cdot x^{n-k} \oplus \dots \oplus g_1 \cdot x \oplus g_0 \cdot 1$$

$$G = \begin{bmatrix} g_0 & g_1 & \dots & g_{m-k} & 0 & \dots & 0 \\ 0 & g_0 & g_1 & \dots & g_{m-k} & \dots & 0 \\ \vdots & \dots & \dots & \dots & \dots & \dots & \vdots \\ & & & & & & g_{m-k} \end{bmatrix}$$

Ex:  $g(x) = x^3 \oplus x \oplus 1$   $n=7, k=4$

$\Rightarrow \vec{g} = (1101) = (g_0 \ g_1 \ g_2 \ g_3)$   $x^6 = g(x) \cdot g(x) \oplus x^2 \oplus 1$

$$G = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

non-systematic code

$$\begin{array}{r} x^6 : x^3 \oplus x \oplus 1 = x^3 \oplus x \oplus 1 \\ \underline{x^6 \oplus x^4 \oplus x^3} \\ x^4 \oplus x^3 \\ \underline{x^4 \oplus x^2 \oplus x} \\ x^3 \oplus x^2 \oplus x \\ \underline{x^3 \oplus x \oplus 1} \\ \underline{\underline{x^2 \oplus 1}} \end{array}$$

$x^6 = (x^3 \oplus x \oplus 1) \cdot g(x) \oplus x^2 \oplus 1$   
*coincidence*

→ systematic code?

parity sub-matrix:

$$\begin{array}{l} r_0(x) = x^{n-1} \pmod{g(x)} \\ r_1(x) = x^{n-2} \pmod{g(x)} \\ \vdots \\ r_{k-1}(x) = x^{n-k} \pmod{g(x)} \end{array}$$

$\Rightarrow P = \begin{bmatrix} r_0 \\ r_1 \\ \vdots \\ r_{k-1} \end{bmatrix}$

Ex:  $g(x) = x^3 \oplus x \oplus 1$   $n=7$ ;  $k=4 = n - \deg[g(x)]$

$r_0(x) = x^6 \pmod{g(x)} = x^2 \oplus 1$	$\vec{r}_0 = (101)$
$r_1(x) = x^5 \pmod{g(x)} = x^2 \oplus x \oplus 1$	$\vec{r}_1 = (111)$
$r_2(x) = x^4 \pmod{g(x)} = x^2 \oplus x$	$\vec{r}_2 = (011)$
$r_3(x) = x^3 \pmod{g(x)} = x \oplus 1$	$\vec{r}_3 = (110)$

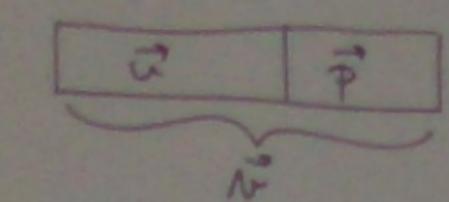
$$G = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

systematic!

Systematic encoding of cyclic code:

$(n, k)$  code:  $u(x) : \deg[u(x)] = k-1$

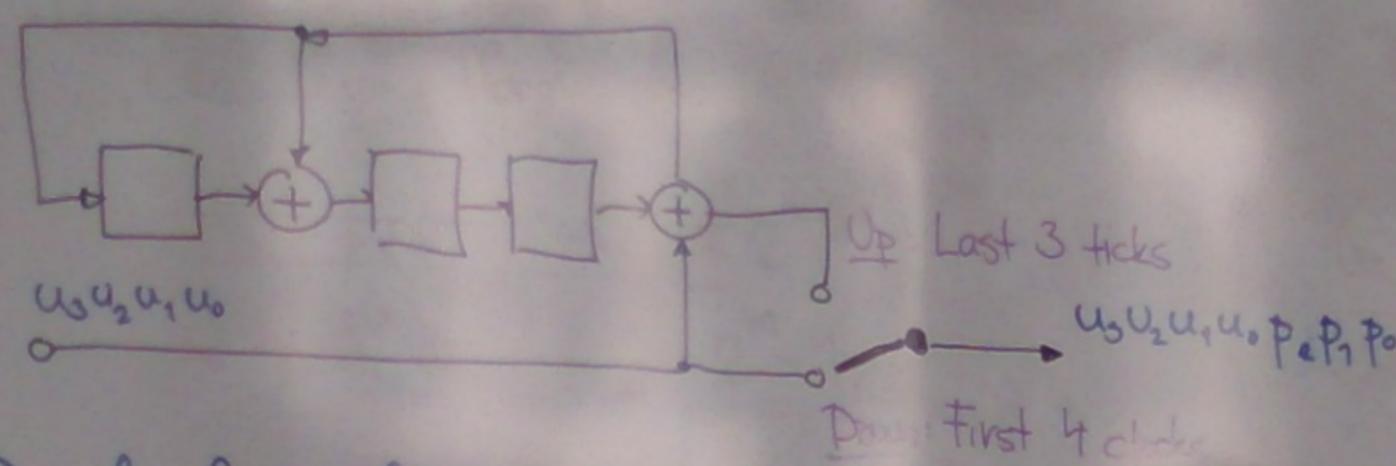
$\Rightarrow n(x) = u(x) \cdot g(x)$   
 $= u(x) \oplus x^k \cdot p(x)$



Ex:  $u(x) = x^3 \oplus x$  |  $p(x) = x \oplus 1$   
 $k=4, n=7$   
 $\vec{n} = (0101110)$

$n(x) = u(x) \oplus x^4 \cdot p(x) =$   
 $= x^3 \oplus x \oplus x^4(x \oplus 1)$   
 $= x^5 \oplus x^4 \oplus x^3 \oplus x$

Ex: Systematic encoder for Hamming (7,4)



Decoder for cyclic codes

$\rightarrow$  syndrome decoding  
 table: syndrome poly.  $\underline{s(x)} \rightarrow$  error poly.  $\underline{e(x)}$

$n(x) = u(x) \cdot g(x)$   
 $nr(x) = n(x) \oplus e(x)$   
 $nr(x) \cdot h(x) \equiv 0 \pmod{x^n \oplus 1}$

decoder:  $nr(x) : g(x) =$   
 $= n(x) : g(x) \oplus e(x) : g(x)$   
 $= \oplus \oplus \boxed{s(x)}$

Ex: Mapping table for Hamming (7,4)

$e(x)$	$s(x)$
0	0
1	1
$x^2$	$x$
$x^3$	$x^2$
$x^6$	$x \oplus 1$
	$x^2 \oplus 1$

$\downarrow$  corrects single err  
 $\downarrow$   
 $e(x) = x^i$   
 not practical for high  $t$

Trick: Maggit (1960)

$nr(x) \rightarrow nr^{(i)}(x) \equiv x \cdot nr(x) \pmod{x^n \oplus 1}$   
 $s(x) \rightarrow s^{(i)}(x) \equiv x \cdot s(x) \pmod{x^n \oplus 1}$

$\Rightarrow$  a shift of  $\underline{nr(x)}$  shifts also the  $\underline{s(x)}$

Maggit decoder

for cyclic code of length  $n$ , checks all  $n$  shifts of  $\underline{nr(x)}$  against syndromes with  $\deg[e(x)] = \max$ .

$\hookrightarrow$  only  $x^6 \Leftrightarrow x^2 \oplus 1$  for Hamming ( $n=7$ )  
 but  $x^{14} \dots x^{13} \oplus x^{14} = e(x)$  for Golay ( $n=15$ )

## Maggit algorithm:

- max all  $m$  bits of  $w(x)$  into LSR
- compute  $s(x)$  while shifting by  $w(x) = g(x)$
- if  $s(x) = 0 \Rightarrow$  do nothing  
else: check for  $s(x)$  pattern  
if match: add  $e(x)$  to the current  $w(x)$   
 $w^{(i)} \leftarrow w^{(i)} \oplus e(x)$
- continue shifting

$\Rightarrow$  most significant bit is the one that contains error  
 most sig. bit:  $N = (0100101)$  **MSB**

Ex:  $\vec{w} = (00100101)$   
 $\vec{w}' = (00110101)$

- 00110101  $\rightarrow s(x) \neq 0$ , no match
- 10011010  $\rightarrow$  —||—
- 01001101  $\rightarrow$  —||—
- 10100110  $\rightarrow$  —||—
- 01010011  $\rightarrow s(x)$  matches  
 Golay: 01011010
- 00101001
- etc

Can we construct a cyclic code with given  $\underline{t}$  and  $\underline{k}$ ?  
 number of corrected errors

## BCH codes (Bose, Chaudhuri, Hocquenghem 1960)

- given:  $m, m \geq 3; t < 2^{m-1}$   
 provides:
- block length  $n = 2^m - 1$
  - parity symbols  $n - k \leq m \cdot t$
  - min. dist.  $d_{min} \geq 2 \cdot t + 1$
  - generator polynomial  $d_{min}$  may be higher!

## Reed-Solomon codes (1960)

- $\rightarrow$  a BCH with  $s$  bit long symbols  
 widely used: cca since 1970 (decoding)
- storage (SSD, RAID) CD, DVD, BD
  - wireless networks (WiMAX)
  - satellite communications (DVB-S, NASA)
  - bar-codes (QR)
  - ADSL, xDSL